



LOBBYIST REGISTRATION REPORT CITY OF SAN JOSE

1. Fill out report
2. Sign and date
3. File original with payment at:

*Office of the City Clerk
City of San José
801 N. First St., Room 116
San José, CA 95110*

☐ This is the initial Registration Report.

I/We qualified as a lobbyist on ____.

☐ This is an Annual Registration Report.

☐ This is a Quarterly Activity Report:

☐ April 15 (period ending March 31)

☐ July 15 (period ending June 30)

☐ October 15 (period ending September 30)

☐ January 15 (period ending December 31)

Note: Each Quarterly Activity Report must contain that same information as required to be disclosed in the initial registration, for those activities occurring in the quarter.

☐ This is an Amendment of the report filed on _____. Amending Section(s) _____.

SECTION 1 – INFORMATION ABOUT ALL FILERS

ALL LOBBYISTS MUST COMPLETE SECTION 1

PART I FILER INFORMATION

Name of Filer:

Business Address:

Business E-mail Address:

Business Phone Number:

Business Fax Number:

Description of the Nature of your Business or Organization:

PART II TYPE OF LOBBYIST

☐

Lobbyist (Contract)

An individual who engages in lobbying on behalf of one or more clients (acting individually or through agents, associates, employees or contractors) and who has received or has entered into an agreement for compensation of one thousand dollars (\$1,000) or more ("threshold compensation") for any services which includes engaging in lobbying during any consecutive three (3) month period.

(Complete Sections 1 and 2 and, and as applicable, Section 5 through Section 12.)

☐**In House Lobbyist:**

1. An individual who is an officer or employee of an organization or association which has a membership and for which the officer or employee is compensated for purposes of advancing the goals or mission of the umbrella organization or association, such as a trade association, and who engages in lobbying on its behalf in an aggregate amount of 20 hours or more within any three (3) month period; or
2. An individual who is an owner of a business or employed by an business or organization and who is compensated more than one thousand dollars (\$1,000) in a month beyond reimbursement for their reasonable travel, meals or incidental expenses for engaging specifically in lobbying and whose duties as an employee include lobbying on behalf of his or her employer and who engages in lobbying on its behalf in an aggregate amount of 20 hours or more within any three (3) month period.

(Complete Sections 1 and 3 and, and as applicable, Section 5 through Section 12.)

☐**Expenditure Lobbyist**

A person who makes payments or incurs expenditures of five thousand dollars (\$5,000) or more during any calendar year in connection with carrying out public relations, advertising or similar activities with the intent of soliciting or urging, directly or indirectly, other persons to communicate directly with any City official in order to attempt to influence a legislative or administrative action. The five thousand dollars (\$5,000) threshold shall not include:

1. Compensation paid to contract lobbyists or employees for lobbying; or
2. Dues payments, donations, or other economic consideration paid to an organization, regardless of whether the dues payments, donations or other economic consideration are used in whole or in part to lobby.

(Complete Sections 1 and 4 and, and as applicable, Section 5 through Section 12.)

PART III OWNERSHIP INFORMATION

(Relates to in-house expenditure and partnerships of less than 5 owners.)

Names of all owners, officers and employees conducting lobbying activities:

Provide a brief description of the item(s) of legislative or administrative action the lobbyist is seeking to influence:

PART IV FEES DUE

Annual registration fee	\$350.00 per registrant plus \$60.00 per client
First-time registration fee if registration occurs on or after June 30 in a calendar year	\$175.00 per registrant plus \$60.00 per client
New client fee	\$60.00 per client
Delinquent registration fee	5% of the unpaid fee per day; maximum of 100% of the unpaid fee
Quarterly report delinquency fee	\$10.00 per day

PART V VERIFICATION

Print Name:

Title:

Address:

Daytime Telephone Number:

I have used all reasonable diligence in preparing this report. I have reviewed all the sections and to the best of my knowledge the information contained herein is true and complete.

I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Date

Signature of Lobbyist

SECTION 2 – LOBBYIST (CONTRACT) CLIENT INFORMATION

Client Name:

Client Address:

Telephone Number:

Nature of Business:

Brief description of the item(s) of legislative or administrative action the lobbyist is seeking to influence on behalf of the client:

Total economic compensation promised or received from client during the reporting period for lobbyist services in accordance with the following ranges: ☐ (\$500 - \$1,000), ☐ (\$1,001 - \$10,000), ☐ (\$10,001 - \$100,000), and ☐ (Over \$100,000).

Name(s) of each person employed or retained by the lobbyist to lobby on behalf of client:

Client Name:

Client Address:

Telephone Number:

Nature of Business:

Brief description of the item(s) of legislative or administrative action the lobbyist is seeking to influence on behalf of the client:

Total economic compensation promised or received from client during the reporting period for lobbyist services in accordance with the following ranges: ☐ (\$500 - \$1,000), ☐ (\$1,001 - \$10,000), ☐ (\$10,001 - \$100,000), and ☐ (Over \$100,000).

Name(s) of each person employed or retained by the lobbyist to lobby on behalf of client:

Client Name:

Client Address:

Telephone Number:

Nature of Business:

Brief description of the item(s) of legislative or administrative action the lobbyist is seeking to influence on behalf of the client:

Total economic compensation promised or received from client during the reporting period for lobbyist services in accordance with the following ranges: ☐ (\$500 - \$1,000), ☐ (\$1,001 - \$10,000), ☐ (\$10,001 - \$100,000), and ☐ (Over \$100,000).

Name(s) of each person employed or retained by the lobbyist to lobby on behalf of client:

Client Name:

Client Address:

Telephone Number:

Nature of Business:

Brief description of the item(s) of legislative or administrative action the lobbyist is seeking to influence on behalf of the client:

Total economic compensation promised or received from client during the reporting period for lobbyist services in accordance with the following ranges: ☐ (\$500 - \$1,000), ☐ (\$1,001 - \$10,000), ☐ (\$10,001 - \$100,000), and ☐ (Over \$100,000).

Name(s) of each person employed or retained by the lobbyist to lobby on behalf of client:

[This page may be duplicated for additional clients.]

SECTION 3 – IN HOUSE LOBBYIST

Nature of the business, organization or association, which employs or compensates the lobbyist:

Brief description of the items of municipal, legislative, or administrative action the lobbyist is seeking to influence:

SECTION 4 – EXPENDITURE LOBBYIST

Brief description of the items of municipal, legislative, or administrative action the lobbyist is seeking to influence:

Amount spent and description of qualifying activity:

SECTION 5 – CAMPAIGN AND OFFICEHOLDER CONTRIBUTIONS

List all campaign and/or officeholder contributions made by the lobbyist or lobbyist's firm to an elected City official or candidate for City Office during the preceding calendar quarter.

Contributor Name and firm:

Contribution Amount: \$

Contribution Date:

Recipient Name:

Recipient Office:

Contributor Name and firm:

Contribution Amount: \$

Contribution Date:

Recipient Name:

Recipient Office:

Contributor Name and firm:

Contribution Amount: \$

Contribution Date:

Recipient Name:

Recipient Office:

Contributor Name and firm:

Contribution Amount: \$

Contribution Date:

Recipient Name:

Recipient Office:

[This page may be duplicated for additional contributions.]

SECTION 6 – CAMPAIGN AND OFFICEHOLDER CONTRIBUTIONS MADE AT THE BEHEST OF AN ELECTED OFFICIAL

List all campaign and officeholder contributions made by the lobbyist or lobbyist's firm at the behest of an elected City official or candidate to any other elected public official or candidate for public office during the preceding calendar quarter.

Contribution made by: ☐ Lobbyist ☐ Lobbyist's Firm

At the behest of:

Contribution Amount: \$ Contribution Date:

Recipient Name:

Recipient Office:

Contribution made by: ☐ Lobbyist ☐ Lobbyist's Firm

At the behest of:

Contribution Amount: \$ Contribution Date:

Recipient Name:

Recipient Office:

Contribution made by: ☐ Lobbyist ☐ Lobbyist's Firm

At the behest of:

Contribution Amount: \$ Contribution Date:

Recipient Name:

Recipient Office:

[This page may be duplicated for additional contributions.]

SECTION 7 – INDEPENDENT EXPENDITURES

List all independent expenditures made by the lobbyist or lobbyist's firm to an elected City official or candidate for City Office during the preceding calendar quarter.

Independent expenditure made by: ☐ Lobbyist ☐ Lobbyist's Firm

Amount or value of expenditure: \$ Date:

Recipient Name:

Recipient Office:

Independent expenditure made by: ☐ Lobbyist ☐ Lobbyist's Firm

Amount or value of expenditure: \$ Date:

Recipient Name:

Recipient Office:

Independent expenditure made by: ☐ Lobbyist ☐ Lobbyist's Firm

Amount or value of expenditure: \$ Date:

Recipient Name:

Recipient Office:

[This page may be duplicated for additional independent expenditures.]

SECTION 8 – FUNDRAISING ACTIVITY

Describe fundraising activity for any City official, candidate for elective City office and fundraising activity for any political action committee, political party or candidate for office of a governmental organization made at the behest of a City official during the preceding calendar quarter

Name of City official who is recipient of activity or who requested the activity:

Date and Description of fundraising activity:

Name of City official who is recipient of activity or who requested the activity:

Date and Description of fundraising activity:

Name of City official who is recipient of activity or who requested the activity:

Date and Description of fundraising activity:

[This page may be duplicated for additional fundraising activity.]

SECTION 9 – DONATIONS TO ORGANIZATIONS

List donations to for-profit or nonprofit organizations made at the behest of a City official or candidate for elective City office of any contribution or payment of more than \$1,000 in the aggregate made during the preceding calendar quarter.

Date of Donation:

Payee Name and Address:

Description of Donation:

Value of Donation:

At the behest of:

Date of behest:

Date of Donation:

Payee Name and Address:

Description of Donation:

Value of Donation:

At the behest of:

Date of behest:

Date of Donation:

Payee Name and Address:

Description of Donation:

Value of Donation:

At the behest of:

Date of behest:

[This page may be duplicated for additional donations.]

SECTION 10 – CONSULTANT PAYMENTS RECEIVED BY LOBBYIST

Describe payments received by a lobbyist for services as a consultant or in any other capacity for services rendered to a City agency, any City official, or any City Official-elect, or their controlled committees, any officeholder committee, or any ballot measure committee during the preceding calendar quarter.

Name of City department or Redevelopment Agency, City official, or any City official-elect, their controlled committees or officeholder committees making payment:

Description of specific services provided:

Date(s) of specific services provided:

Payers Name:

Payment Amount: \$

Payment Date:

Name of City department or Redevelopment Agency, City official, or any City official-elect, their controlled committees or officeholder committees making payment:

Description of specific services provided:

Date(s) of specific services provided:

Payers Name:

Payment Amount: \$

Payment Date:

Name of City department or Redevelopment Agency, City official, or any City official-elect, their controlled committees or officeholder committees making payment:

Description of specific services provided:

Date(s) of specific services provided:

Payers Name:

Payment Amount: \$

Payment Date:

[This page may be duplicated for additional consultant payments.]

SECTION 11 – CONTACTS

Provide information on contacts made with City officials or City officials-elect during the preceding calendar quarter for the purpose of influencing or attempting to influence legislative administrative action.

“City Official” includes the Mayor and Members of the City Council, any appointee of the City Council, Mayoral or Council staff member, Redevelopment Agency Board Member, members of the Planning Commission, Appeals Hearing Board, Civil Service Commission, any City representative to any joint powers authority to which the City is a party, the City Manager and his or her Assistant City Manager and Deputy City Managers and the Executive Director of the Agency and his or her Assistant and Deputies and City and Agency department heads.

City official or City official-elect contacted:

Description of the item(s) of legislative or administrative action the lobbyist is seeking to influence:

Number of contacts: ☐ (1), ☐ (2-5), ☐ (6-10), or ☐ (11 or more).

City official or City official-elect contacted:

Description of the item(s) of legislative or administrative action the lobbyist is seeking to influence:

Number of contacts: ☐ (1), ☐ (2-5), ☐ (6-10), or ☐ (11 or more).

City official or City official-elect contacted:

Description of the item(s) of legislative or administrative action the lobbyist is seeking to influence:

Number of contacts: ☐ (1), ☐ (2-5), ☐ (6-10), or ☐ (11 or more).

[This page may be duplicated for additional contacts.]

SECTION 12 – ACTIVITY EXPENSES

“Activity Expense” means any payment made by a lobbyist to or directly benefiting any City official, City official-elect or member of such official’s or official-elect’s immediate family and domestic partners. Activity expenses include gifts, honoraria, consulting fees, salaries and any other form of compensation, but do not include campaign contributions.

City official or City official-elect benefiting:

Amount Spent:

Date:

Payee Name and Address:

Description of Activity Expense:

City official or City official-elect benefiting:

Amount Spent:

Date:

Payee Name and Address:

Description of Activity Expense:

City official or City official-elect benefiting:

Amount Spent:

Date:

Payee Name and Address:

Description of Activity Expense:

[This page may be duplicated for additional activity expenses.]